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Whitehall Financial Services, Inc. Client Privacy Policy

We recognize that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and are very careful to preserve the private nature of our relationship with you. At the same time, the very nature of our business sometimes requires that we collect and share certain information about you with other organizations or companies. As such, we want you to be aware of how we handle personal information.

The following is a description of the policies of Whitehall Financial Services, Inc. (Whitehall) regarding disclosure of nonpublic information that you provide to us or that we collect from other sources. You should know that if you hold shares of a mutual fund through a broker-dealer or other financial intermediary, the privacy policy of your financial intermediary governs how your nonpublic personal information may be shared with unaffiliated third parties.

Categories of Information Whitehall Collects

In order to meet your needs in the course of financial planning, investment management, tax advising, and insurance analysis, Whitehall collects the following nonpublic personal information about you:

- Information that we receive from you or your authorized representative on applications or other forms, correspondence, conversations, or by other means (such as your name, address, phone number, social security number, assets, income and date of birth);
- Information that you have authorized us to receive from governmental agencies, employers or others, and;
- Information about your transactions with us, our affiliates, or others (such as your account number and balance, investments, parties to transactions, cost basis information, and other financial information).

Categories of Information Whitehall Discloses

Whitehall does not disclose any nonpublic personal information about our customers or former customers, except as required or permitted by law. Whitehall is permitted by law to disclose all of the nonpublic personal information we collect to appropriate service providers (such as our broker/dealer, KMS Financial Services, Inc., our clearing company, Pershing, and Insurance Companies) to process your transactions and otherwise provide services to you.

Confidentiality and Security

Whitehall restricts access to your nonpublic personal information to those who require the information to provide products or services to you. Whitehall maintains physical, electronic, and procedural safeguards that comply with federal standards to guard your nonpublic personal information.

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